

JC985 U.S. PTO  
06/29/01

07-02-01

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box →

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	ENR100/4-15
First Inventor or Application Identifier	Louise J. Kitchen
Title	Single Party Buying and Selling Commodities with Multiple Counterparties
Express Mail Label No.	EL686097760US

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- | APPLICATION ELEMENTS  | ADDRESS TO:   |
|---|---|
| 1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i>  | Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 56]<br><i>(preferred arrangement set forth below)</i><br>- Descriptive title of the Invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- References to Microfiche Appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings <i>(if filed)</i><br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure   | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>6. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i><br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy <i>(identical to computer copy)</i><br>c. <input type="checkbox"/> Statement verifying identity of above copies  |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 16]   | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
| 4. Oath or Declaration [Total Pages 1] <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63 (d))<br/> <i>(for continuation/divisional with Box 16 completed)</i></li> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>           Signed statement attached deleting<br/>           inventor(s) named in the prior application.<br/>           see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li> </ul> | 8. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of<br><i>(when there is an assignee)</i> <input checked="" type="checkbox"/> Attorney<br>9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i><br>10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS<br>Citations<br>11. <input type="checkbox"/> Preliminary Amendment<br>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>*Should be specifically itemized</i><br>*Small Entity Statement filed in prior application,<br>Statement(s) <input type="checkbox"/><br>(PTO/SB/09-12) <input type="checkbox"/> Status still proper and desired |

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

### 17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		or	<input checked="" type="checkbox"/> Correspondence address below	
Name	Stephen S. Hodgson Vinson & Elkins L.L.P.					
Address	2300 First City Tower 1001 Fannin					
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Name (Print/Type)	Stephen S. Hodgson	Registration No. (Attorney/Agent)	41,075
Signature	<i>Steve Hodgson</i>		Date 6/29/01

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement;  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT (\$750.00)

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Louise J. Kitchen
Examiner Name	
Group / Art Unit	
Attorney Docket No.	ENR100/4-15

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 22-0365

Deposit Account Name Vinson & Elkins L.L.P.

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355 Utility filing Fee
106	320	206	160 Design filing fee
107	490	207	245 Plant filing fee
108	710	208	355 Reissue filing fee
114	150	214	75 Provisional filing fee
<b>SUBTOTAL (1) (\$)</b>			<b>710.00</b>

### 2. EXTRA CLAIMS FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20** = 0	X 18	= 0.00
Independent Claims 2	- 3** = 0	X 80	= 0.00
Multiple Dependent			= 0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>		
0.00		

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge – late filing fee or oath	
127	50	227	25 Surcharge – late provisional filing fee or cover sheet.	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	390	216	195 Extension for reply within second month	
117	890	217	445 Extension for reply within third month	
118	1,390	218	695 Extension for reply within fourth month	
128	1,890	228	945 Extension for reply within fifth month	
119	310	219	155 Notice of Appeal	
120	310	220	155 Filing a brief in support of an appeal	
121	270	221	135 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive – unavoidable	
141	1,240	241	620 Petition to revive – unintentional	
142	1,240	242	620 Utility issue fee (or reissue)	
143	440	243	220 Design issue fee	
144	600	244	300 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	180 Petitions related to provisional applications	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) Record Assignment				40.00
Other fee (specify)				

\* Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** 40.00

## SUBMITTED BY

Name	Stephen S. Hodgson	Registration No. (Attorney/Agent)	41,075	Telephone	(713) 758-2604
Signature	Steve Hodgson			Date	6/29/01